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ed to respond to a collection of information unless it contains a valid OMB control Substitute for form 1449A/PTO INFORMATION DISCLOSURE 10/014277 **Application Number** STATEMENT BY APPLICANT Filing Date December 11, 2001 Use as many sheets as necessary) Hedin et al. **First Named Inventor Group Art Unit** 2828 Hung T. Vy **Examiner Name** Attorney Docket No: 1010.8124U1 Sheet 1 of 1

US PATENT DOCUMENTS								
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate		
H	6,151,114	11/21/2000	Russell	354	352	•		
		• .						

	FOREIGN PATEN	T DOCUMENTS			
Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T²
Publication No. 60243604	. 12/03/1985	Olympus Optical Co. Ltd.			
	Foreign Document No	Foreign Document No Publication Date	Publication Date cited Document	Foreign Document No Publication Date Name of Patentee or Applicant of Class	Foreign Document No Publication Date Name of Patentee or Applicant of cited Document Class Subclass

	OTHE	R DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
H		WL500 Wavelength Locking Device, JDS Uniphase, 11/99, 13 pages, MKT-DS-0153 Rev. B, JDS Uniphase Corporation, Canada	
	•		1

EXAMINER

DATE CONSIDERED

S/N 10/014277



**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hedin et al.

Examiner:

Unassigned

Serial No.:

10/014277

Group Art Unit:

2881

Filed:

December 11, 2001

Docket No.:

980.1124US01

Title:

METHOD AND APPARATUS FOR LASER WAVELENGTH

**STABILIZATION** 

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 17, 2002

lain A. McIntyre

Name

Signature

## **Information Disclosure Statement** Under 37 C.F.R.§1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:



Applicants respectfully submit the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the  Applicants hereby bring to the attention of the Examiner pending patent applications, Serial No. 09/871,230, filed May 31, 2001; Serial No. 10/014,218, filed October 22, 2001, Serial No. 10/015,151, filed December 11, 2001 and Serial No. 10/014,278, filed December 11, 2001 (courtesy copies enclosed).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicants request that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC 6500 City West Parkway, Suite 100 Minneapolis, MN 55344 (952) 253-4110

Date: June 17 , 2002

lain A. McIntyre (952) 253-4110 Reg. No. 40,337

IAM/kah



# INFORMATION DISCLOSURE STATEMENT PTO Form 1449

Docket Number Serial Number 980.1124US01 10/014277

Applicant(s) Hedin et al.

Filing Date Group Art Unit 2881

### **U.S. PATENT DOCUMENTS**

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1		5,323,409	06/21/94	Laskoskie et al.	372	32	
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INITIALS	KEF	DOCOMENT NUMBER	DATE	COUNTRY	CLASS	30B-CLA33	YES	NO

#### **OTHER DOCUMENTS**

HV	M. Oberg et al., "74 nm Wavelength Tuning Range of an InGaAsP Vertical Grating Assisted Codirectional Coupler Laser with Rear Sampled Grating Reflector," IEEE Photonics Technology Letters, 5(7):735-738 (July 1993).
#	P.J. Rigole et al., "114-nm Wavelength Tuning Range of a Vertical Grating Assisted Codirectional Coupler Laser with a Super Structure Grating Distributed Bragg Reflector," <i>IEEE Photonics Technology Letters</i> , 7(7):697-699 (July 1995).
HV	Derickson, "Static Fizaeu Interferometer Wavelength Meter", Fiber Optic Test and Measurement, Prentice-Hall, ISBN 0-13-534330-5, 163-165 (1998).
. 4	U.S. Application Serial No. 09/871,230, filed May 31, 2001.
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4	U.S. Application Serial No. 10/014,278, filed December 11, 2001.



**Docket Number** Serial Number 00980.1124-US-01 10/014277 Applicant(s) HEDIN et al.

INFORMATION DISCLOSURE STATEMENT PTO Form 1449 **Filing Date Group Art Unit** 12/11/2001 2881 **U.S. PATENT DOCUMENTS EXAMINER** REF **FILING DATE DOCUMENT NUMBER** DATE NAME CLASS SUB-CLASS INITIALS (IF APPROPRIATE) 6,186,937 02/13/01 Ackerman et al. 506 **FOREIGN PATENT DOCUMENTS** EXAMINER INITIALS TRANSLATION REF DOCUMENT NUMBER DATE COUNTRY **CLASS** SUB-CLASS YES NO **OTHER DOCUMENTS** 

Examiner:	- Charles and the contract of	Date Considered:	05/10	104	
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